



PATENT

Attorney Docket No.: 398624
Express Mail Label No.: EV 008782665 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Mark A. Tschiegg, et al

Serial No. 10/085,497

Filed: February 26, 2002

For: Risk Management Information
Interface System And Associated
Method

Examiner: Not Yet Assigned

Group Art Unit: 2173

RESPONSE TO NOTICE OF MISSING PARTS

BOX: MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to the Notice of Missing Parts dated 27 March 2002 (copy appended), enclosed herewith find the following:

1. Declaration For Utility Or Design Patent Application;
2. Petition for Extension of Time
3. Fee Transmittal Form FY 2002.

Pursuant to 37 CFR 1.16(e), the \$130.00 fee which is due in connection with this response to notice of missing parts and pursuant to 1.17(a)(4), the \$1,440 fee for the extension of time should be applied against our Deposit Account No. 12-0600. Any additional fee which might be due in connection with this request should be applied against our Deposit Account No. 12-0600.

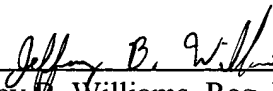
PATENT

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The Examiner is encouraged to contact the undersigned if prosecution of the application may be expedited thereby.

Respectfully submitted,

LATHROP & GAGE LLC



Jeffrey B. Williams, Reg. No. 43,269
2345 Grand Boulevard, Suite 2800
Kansas City, MO 64108
Tel No: 816/460-5846
Fax No: 816/292-2001

Express Mail Label No.: EV 008780395 US

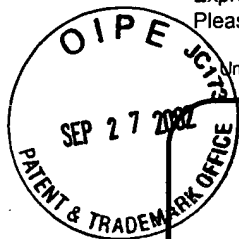
Please type a plus sign (+) inside this box ☒

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	10/085,497
		Filing Date	February 26, 2002
		First Named Inventor	Mark A. Tschiegg
		Group Art Unit	2173
		Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission		Attorney Docket Number	398624

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <p>Certificate of Mailing & Return Post Card</p>		
<table border="1"> <tr> <td>Remarks</td> <td></td> </tr> </table>			Remarks	
Remarks				

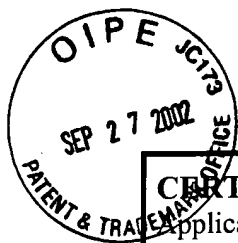
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Jeffrey B. Williams LATHROP & GAGE LC
Signature	<i>Jeffrey B. Williams</i>
Date	September 27, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: September 27, 2002			
Typed or printed name	Denise Phipps		
Signature	<i>Denise Phipps</i>	Date	September 27, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Mark A. Tschiegg, et al.

Matter No.

398624

Serial No.

10/085,497

Filing Date

February 26, 2002

Examiner

Not Yet Assigned

Group Art Unit

2173

Invention Risk Management Information Interface System And Associated Methods

I hereby certify that this Transmittal Form (1 page); Response to Notice of Missing Parts (2 pages in duplicate); Copy of the Notice To File Missing Parts Of Nonprovisional Application (1 page); Declaration For Utility Or Design Patent Application(3 pages); Petition For Extension of Time Under 37 CFR 1.136(a) (1 page in duplicate); Fee Transmittal (1 page in duplicate); authorization to charge Deposit Account No. 12-0600 in the amount of \$130.00 for the late filing of declaration and \$1440.00 for the petition fee; authorization to charge additional fees that may be required, or credit any overpayment, to Deposit Account No. 12-0600; and return post card are being mailed in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on this 27th day of September, 2002.

Denise Phipps

Name of Depositor

Signature of Depositor

EV 008782665 US

Express Mail Label No.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/085,497	02/26/2002	Mark A. Tschiegg	398624

CONFIRMATION NO. 8699

FORMALITIES LETTER



OC000000007722195

30955
LATHROP & GAGE LC
4845 PEARL EAST CIRCLE
SUITE 300
BOULDER, CO 80301

Date Mailed: 03/27/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/16/2002 SSALEEKU 00000034 120600 10085497 FILED UNDER 37 CFR 1.53(b)

01 FC:2051 65.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

~~Adjustment date: 10/16/2002 SSALEEKU~~~~10/02/2002 BABRAHA1 00000068 120600 10085497~~~~01 FC:1051 130.00 CH~~*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

~~10/02/2002 BABRAHA1 00000068 120600 10085497~~~~01 FC:1051 130.00 CH~~